

\$172.800 Security Plan Walkthrough Acknowledgment

Required for certificate issuance, HazMat In-Depth Security Training (Add-On 1, Highway Mode)

49 CFR §172.704(a)(5) · 49 CFR §172.802 · Recordkeeping per §172.704(d)

How to use this form. After the hazmat employee completes the In-Depth Security online training and passes the knowledge check, the company's designated security coordinator conducts a documented walkthrough of the company's actual written security plan with the employee. Complete this form during or immediately after the walkthrough. Both the employee and the coordinator sign. Upload to the LMS to release the certificate.

Section A. Employee information

Employee full name

Employee ID / payroll #

Job title / role

Hire / hazmat-employee date

Date Core Bundle completed

Date of this walkthrough

Section B. Plan information

Company legal name

DOT number

Plan version number

Plan last-reviewed date

(§172.802(b))

§172.800(b) trigger materials that apply

Section C. Plan sections walked

The coordinator confirms that each of the following sections of the company's written §172.802 plan was walked with the employee, and that the employee had an opportunity to ask questions.

✓

Section / plan element

☐

§172.800(a) self-assessment, why the company is subject to a written security plan

✓	Section / plan element
☐	§172.802(a)(1) Personnel security, pre-employment, insider-threat indicators, ongoing review
☐	§172.802(a)(2) Unauthorized access, facility, rolling-stock, credential discipline, visitors
☐	§172.802(a)(3) En-route security, routing, tracking, rest-stop discipline, threat response (drivers)
☐	Recognize-and-respond at the (a)(5) layer, drills, escalation, post-incident review
☐	§172.802(b) plan maintenance, review cadence, and change procedures
☐	§172.704(d) recordkeeping, where training records live, retention, employer responsibility
☐	Reporting paths, who to call (internal coordinator, DOT Security Tips 1-855-DOT-SAFT, law enforcement)

Section D. Employee's specific responsibilities under this plan

The coordinator records, in plain language, the specific responsibilities this employee carries under the plan. These are the responsibilities the employee should be able to name without prompting. Use 3 to 5 short statements.

1. 2. 3. 4. (optional) 5. (optional)

Section E. Notes from the walkthrough

Optional. Questions raised, plan gaps identified for the next §172.802(b) review, follow-up items.

Section F. Employee acknowledgment

I confirm that the company's written security plan under 49 CFR §172.802 was walked with me by the security coordinator named below. I understand my specific responsibilities under the plan as recorded in Section D. I had an opportunity to ask questions and received answers. I understand that this training, together with the In-Depth Security online course, satisfies the In-Depth Security Training requirement of 49 CFR §172.704(a)(5) for my position.

Employee signature

Date signed

Section G. Security coordinator certification

I certify that I am the designated security coordinator for the company named in Section B, that I conducted the walkthrough described above with the named employee, that the employee can articulate the responsibilities recorded in Section D, and that this record will be retained as part of the employee's hazmat training record under §172.704(d) for the duration of the employee's service as a hazmat employee plus 90 days after termination.

Coordinator full name

Coordinator title

Coordinator phone / email

Coordinator signature

Date signed

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